



**Zürcher  
Kantonalbank**

Reference Special Actions LOAV3  
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Postal address: P.O. Box, CH-8010, Zurich

**Registered Mail**

Epiq Bankruptcy Solutions, LLC  
Attn: Lehman Brothers Holdings Inc.  
Claims Processing  
757 Third Avenue, 3<sup>rd</sup> Floor  
New York, NY 10017  
USA

Zurich, 29-August-12



**Partial Transfer of Claim "Lehman Brothers Holdings Inc."**

Dear Sir or Madam

Please find enclosed, both the "Notice Pursuant to Bankruptcy Rule 3001" and the originally signed "Evidence of Transfer of Claim" released by Notenstein Privatbank AG on August 22, 2012.

We kindly ask you to process the partial transfer of claim:

ISIN: CH0027120812  
Title: Lehman Brothers - Cap. protected Cert. 07-13.4.11 (EXP.6.4.11) on a  
Basket of Shs  
Nominal: CHF 50,000  
Transferor: Notenstein Privatbank AG  
Transferee: Ernst Bodmer  
Initial Proof of Claim Number: 49651

Please confirm us the receipt. We thank you in advance.

Yours sincerely

Zürcher Kantonalbank

  
Sandra Azzolina

  
Thomas Seebacher



- "Notice Pursuant to Bankruptcy Rule 3001"
- "Evidence of Transfer of Claim"

Form 210A (12/09)

## United States Bankruptcy Court

Southern District Of New York

In re Lehman Brothers Holdings Inc.

Case No. 08-13555 (JMP)

### TRANSFER OF CLAIM OTHER THAN FOR SECURITY

A CLAIM HAS BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. § 1111(a).  
Transferee hereby gives evidence and notice pursuant to Rule 3001(e)(2), Fed. R. Bankr. P., of the  
transfer, other than for security, of the claim referenced in this evidence and notice.

Ernst Bodmer

Name of Transferee

Name and Address where notices to transferee  
should be sent:

Im Boge 15  
CH-8332 Russikon

Phone: \_\_\_\_\_  
Last Four Digits of Acct #: \_\_\_\_\_

Name and Address where transferee payments  
should be sent (if different from above):

-

Phone: \_\_\_\_\_  
Last Four Digits of Acct #: \_\_\_\_\_

Notenstein Privatbank AG

Name of Transferor

Court Claim # (if known): 49651

Amount of Claim: CHF 50,000.00

Date Claim Filed: xxxxxx

Phone: \_\_\_\_\_  
Last Four Digits of Acct. #: \_\_\_\_\_

Note: This is a partial transfer of  
claim only. See attached evidence of  
transfer for details.

I declare under penalty of perjury that the information provided in this notice is true and correct to the  
best of my knowledge and belief.

By: Zürcher Kantonalbank  
Transferee/Transferee's Agent

Date: August 29, 2012

Penalty for making a false statement: Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 & 3571.

  
Thomas Seebacher

  
Sandra Azzolino

**EVIDENCE OF TRANSFER OF CLAIM**

**TO: THE DEBTOR AND THE BANKRUPTCY COURT**

For value received, the adequacy and sufficiency of which are hereby acknowledged, Notenstein Privatbank AG ("Transferor") unconditionally and irrevocably transferred to Ernst Bodmer, Im Boge 15, 8332 Russikon ("Transferee") all of its right, title, interest, claims and causes of action in and to or arising under or in connection with the portion of its claim (**Claim No. 49651**) in the nominal amounts specified in schedule 1 related to the securities with International Securities Identification Number listed on Schedule I hereto against Lehman Brothers Holdings, Inc. (the "Debtor"), Chapter 11 Case No.: 08-13555 (JMP) (Jointly Administered), United States Bankruptcy Court for the Southern District of New York (the "Bankruptcy Court").

Transferor hereby waives any objection to the transfer of the claim to Transferee on the books and records of the Debtor and the Bankruptcy Court and hereby waives to the fullest extent permitted by law any notice or right to a hearing as may be imposed by Rule 3001 of the Federal Rules of Bankruptcy Procedure, the Bankruptcy Code, applicable local bankruptcy rules or applicable law. Transferor acknowledges and understands, and hereby stipulates, that an order of the Bankruptcy Court may be entered without further notice to Transferor regarding the transfer of the foregoing claim and recognizing the Transferee as the sole owner and holder of the claim. Transferor further directs the Debtor, the Bankruptcy Court and all other interested parties that all further notices relating to the claim, and all payments or distributions of money or property in respect of claim, shall be delivered or made to the Transferee.

IN WITNESS WHEREOF, this EVIDENCE OF TRANSFER OF CLAIM IS  
EXECUTED ON August 22nd, 2012.

Notenstein Privatbank AG

By: A. Zingg  
Name: August Zingg  
Title: Member of Management

By: [Signature]  
Name: Tommy Egger  
Title: Member of Management

**Schedule 1**

**Lehman Programs Securities Related to Transferred Portion of Claim**

<b>ISIN</b>	<b>Court Claim #</b>	<b>Date Claim Filed</b>	<b>Issuer</b>	<b>Units/Amount of Claim Related to Security</b>
CH0027120812	49651	October 29, 2009	Lehman Brothers Treasury Co. BV	CHF 50'000